

1 **Immune response during lactation after anti-SARS-CoV2 mRNA**  
2 **vaccine**

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31 **Key Points:**

32 **Question:** What is the immunologic response to anti-COVID-19 mRNA-based vaccines during  
33 lactation and does vaccination induce secretion of IgA antibodies into human milk?

34 **Findings:** In a cohort of 23 lactating individuals who were vaccinated against SARS-CoV-2, we  
35 found significantly increased levels of anti-SARS-CoV2 IgG and IgM antibodies in plasma, as  
36 well as anti-SARS-CoV2 IgA in human milk.

37 **Meaning:** Lactating individuals receiving anti-COVID-19 vaccines transfer antibodies to their  
38 infants and given the long-term health benefits of breastfeeding for the maternal-infant dyad,  
39 lactating individuals should be encouraged to continue to breastfeed after vaccination.

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41

42 **Abstract:**

43 **Importance:** Data regarding efficacy and safety of anti-COVID-19 mRNA vaccines during  
44 lactation is needed to address vaccination guidelines, ease vaccine hesitancy concerns, and  
45 inform public health strategies for this population.

46 **Objective:** To determine whether anti-COVID-19 mRNA-based vaccines administered during  
47 lactation elicit an immune response or the transfer of anti-SARS-CoV2 antibodies into human  
48 milk.

49 **Design:** Plasma and milk samples were collected from a prospective cohort of lactating  
50 individuals who received the mRNA-based vaccines for COVID-19 and from individuals who  
51 recovered from COVID-19 infection.

52 **Setting:** Ambulatory or during postpartum hospitalization.

53 **Participants:** We report results from lactating participants who received the mRNA-1273  
54 (Moderna, n=9) or the BNT162b2 (Pfizer, n=14) vaccine or recovered from natural SARS-CoV-2  
55 infection (n=3).

56 **Interventions and Exposures:** Anti-COVID-19 mRNA vaccination (BNT-162b2 and mRNA-  
57 1273) or natural SARS-CoV-2 infection.

58 **Main Outcome(s) and Measure(s):** Plasma and milk samples were collected from lactating  
59 individuals before first vaccine dose, on the day of the second dose, and 4 weeks after the  
60 second dose. Maternal plasma was evaluated for vaccine-derived IgM and IgG antibodies.  
61 Human milk was evaluated by ELISA for vaccine-induced IgA antibodies specific for SARS-  
62 CoV-2.

63 **Results:** Twenty-three lactating individuals were recruited for this study. Levels of IgG and IgM  
64 were significantly increased in plasma samples on the day of the second vaccine dose (post  
65 vaccine 1), when compared to pre-vaccine samples. In addition, plasma IgG levels 4 weeks  
66 after second vaccine dose were significantly higher than plasma IgG levels pre-vaccine or on  
67 the day of the second dose. In addition, our results show transfer of anti-SARS-CoV2-Receptor  
68 Binding Domain (RBD) IgA antibodies to human milk, 3-4 weeks after each dose of the COVID-  
69 19 mRNA vaccines (BNT-162b2 and mRNA-1273). The levels of anti-SARS-CoV2-RBD IgA  
70 antibody in milk of vaccinated individuals were not significantly different from levels among  
71 participants who experienced SARS-CoV-2 infection.

72 **Conclusions and Relevance:** Administration of anti-COVID-19 mRNA vaccines during  
73 lactation leads to increased anti-SARS-CoV2 IgM and IgG levels in the plasma of lactating  
74 mothers and increased anti-SARS-CoV2-RBD IgA levels in human milk. Lactating women who  
75 receive the vaccine should consider continuing to breastfeed their infant human milk to allow  
76 transfer of anti-SARS-CoV-2 IgA antibodies to the neonate. Additional studies are needed to  
77 evaluate the effect of these vaccines on lactation outcomes and infant health.

78

79 **Introduction:**

80 Several countries have approved the use of anti-COVID-19 mRNA based vaccines under an  
81 emergency use authorization<sup>1</sup>. However, the Phase III clinical trials<sup>2,3</sup> of these vaccines  
82 excluded lactating individuals and therefore there is no available data on the efficacy and safety  
83 of these vaccines on lactating individuals and their infants. Currently, the World Health  
84 Organization (WHO) recommends lactating individuals to obtain the vaccine when eligible and  
85 does not advise cessation of breastfeeding following receipt of the vaccine<sup>4</sup>. The Academy of  
86 Breastfeeding Medicine (ABM) states that there is little plausible risk that vaccine lipid particles  
87 would enter the blood stream and be present in breast tissue, and that nanoparticles or mRNA  
88 would be transferred to milk<sup>5</sup>. We recently showed that mRNA from either the Pfizer- BioNTech  
89 (BNT-162b2) or Moderna (mRNA-1273) vaccines were not detected in human milk samples  
90 collected from vaccinated individuals 4-48 hours after vaccines<sup>6</sup>. However, despite these  
91 recommendations and evidence, some mothers have declined vaccination, chosen or been  
92 advised to discard their milk for up to 72 hours post vaccine, or decided to stop breastfeeding  
93 earlier than planned due to the lack of solid evidence about the effect of the mRNA vaccine on  
94 human milk and infant health. An important benefit of human milk is the presence of IgA  
95 antibodies that provide passive immunity to the infant<sup>7,8</sup>. In addition, anti-SARS-CoV2 antibodies  
96 were recently shown to be present in milk from lactating women that were infected with SARS-  
97 CoV2<sup>9,10</sup>. However, there are no data on whether the COVID-19 mRNA vaccines induce the  
98 secretion of anti-SARS-CoV-2 antibodies into human milk. Here, we show that milk samples  
99 collected 3-8 weeks post BNT-162b2 and mRNA-1273 vaccination contain significantly higher  
100 levels of anti-SARS-CoV2-RBD IgA antibodies when compared to pre-vaccine samples.  
101 Importantly, we show significant increases in anti-SARS-CoV2 IgG and IgM levels post vaccine  
102 in plasma of lactating individuals.

103

104 **Methods:**

105 The University of California San Francisco (UCSF) institutional review board approved the study  
106 (20-32077, 20-30410). Informed consent was obtained from all participants. Detailed methods  
107 are described in the Supplemental Materials. Briefly, maternal plasma was analysed for anti-  
108 SARS-CoV-2 IgM and IgG antibodies, which were quantified through Pylon 3D automated  
109 immunoassay (considered positive if  $\geq 50$  relative fluorescence units (RFU)). Blood samples  
110 were collected up to 24 hours before first vaccine dose (n=7), up to 24 hours before second  
111 dose (n=12), and 4 weeks after second vaccine dose (n=14). At similar time points, human milk  
112 samples were collected fresh or frozen immediately after expressing. For some participants,  
113 additional milk samples were collected weekly post vaccine. Human milk samples were assayed  
114 by ELISA for IgA antibodies specific to SARS-CoV-2 RBD protein.

115 **Results:**

116 We analysed 7 pre-vaccine, 12 post first vaccine administration, and 14 post second vaccine  
117 administration plasma samples from vaccinated lactating individuals. We found a significant  
118 increase in anti-SARS-CoV-2 IgM and IgG antibodies post first vaccine administration and post  
119 second vaccine administration, when compared to pre-vaccine samples (**Figure 1A and 1B**).  
120 Furthermore, anti-SARS-CoV-2 IgG levels at 4 weeks after the second dose were significantly  
121 higher (P value  $<0.0001$ ) compared to samples collected at 3-4 weeks after first dose (collected  
122 on the day of second dose) (**Figure 1A**). In contrast, anti-SARS-CoV-2 IgM levels were not  
123 significantly higher 4 weeks after the second dose compared to samples collected after the first  
124 dose (**Figure 1B**).

125 In addition, we found significantly higher levels of IgA antibodies specific to SARS-CoV-2 RBD  
126 protein in human milk samples collected after the first dose of both vaccines (**Figure 2A and**  
127 **2B**). Seventeen out of 19 milk samples analysed at the day of the second vaccine dose were

128 positive for anti- SARS-CoV-2 IgA antibodies. Thirteen out of the 15 milk samples that were  
129 analysed 4 weeks after the second dose were positive for anti-SARS-CoV-2 RBD IgA (**Figure**  
130 **2A and 2B**). We also analysed 3 milk samples collect from lactating individuals who were  
131 previously infected by SARS-CoV-2 virus. Anti-SARS-CoV-2 RBD IgA antibodies levels in milk  
132 samples from vaccinated individuals were not significantly different from samples collected after  
133 natural SARS-CoV-2 infection (**Figure 2A and 2B**, right columns)

134 When analyzing serial samples collected from 0 to 64 days after first vaccine administration  
135 from 10 patients, we found variation in anti-SARS-CoV-2 RBD IgA antibodies levels in milk  
136 between individuals (**Figure 2C and 2D**). In contrast to the significant increase in plasma IgG  
137 after the second dose (**Figure 1A**), milk IgA levels largely remained stable 4 weeks after the  
138 second dose (**Figure 2C**).

### 139 **Conclusion:**

140 We show here that administration of anti-COVID-19 mRNA vaccines during lactation leads to a  
141 significant increase in anti-SARS-CoV2 IgM and IgG levels in the plasma. Consistent with  
142 results from previous studies that showed reduced IgM levels 28 days after COVID-19  
143 infection,<sup>11</sup> our results show that IgM levels 4 weeks post second dose administration were not  
144 significantly higher compared to their levels after the first dose. Furthermore, our data clearly  
145 demonstrate increased anti-SARS-CoV2-RBD IgA levels in human milk consistent with levels  
146 found after SARS-CoV-2 infection. Taken together, our findings suggest that anti-COVID-19  
147 vaccination is beneficial for lactating individuals and may also protect their infants.

148 Our results provide information needed to inform the care of lactating individuals and their  
149 infants<sup>12</sup>. Although there is expert consensus of minimal or no potential risk for the infant from  
150 maternal anti-COVID-19 vaccination<sup>13,14</sup>, no previous studies have demonstrated specific  
151 benefits during lactation. Our results now provide such evidence. Future studies are needed to



152 determine the degree of protection conferred by these IgA anti-SARS-CoV-2 antibodies. At the  
153 present time, our findings suggest that lactating individuals who receive vaccination should  
154 continue to breastfeed and chestfeed their infants in order to ensure transfer of anti-SARS-CoV-  
155 2 IgA antibodies to their baby that may potentially protect against COVID-19, important even  
156 during infancy<sup>15</sup>. Health care providers should continue to encourage lactating individuals to  
157 breastfeed and chestfeed their infant during and following COVID-19 vaccination.

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202 **Conflict of interest:**

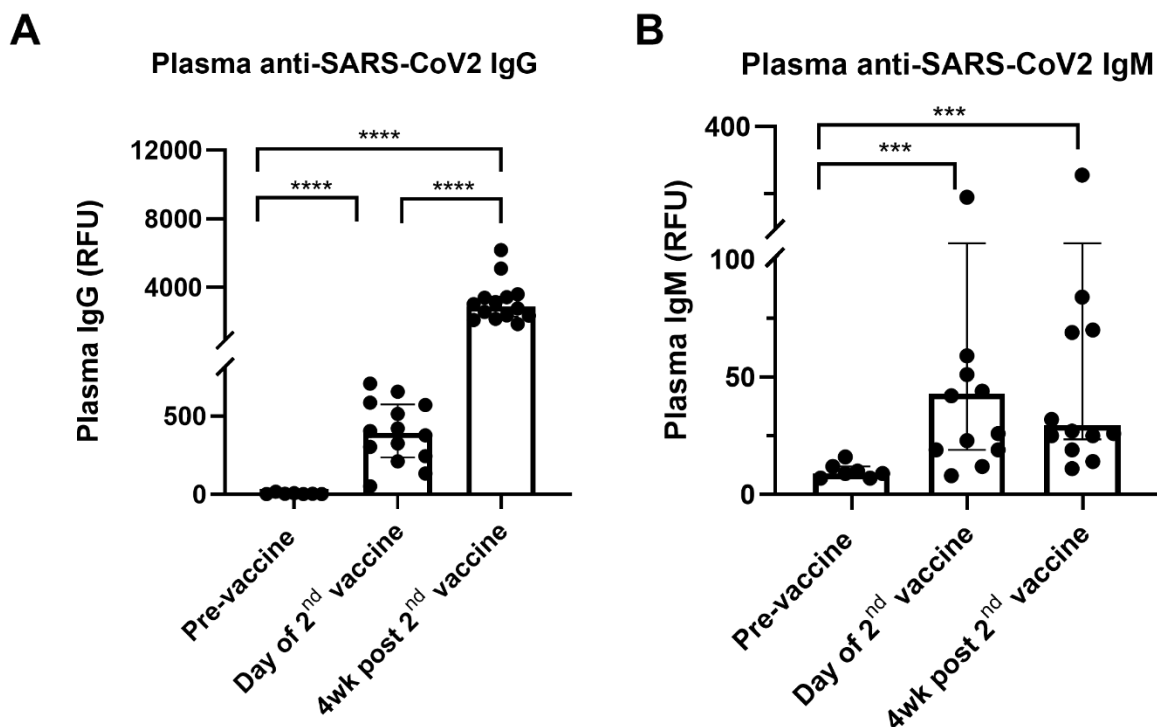
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211

212 **Figure and legend:**



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214

215 **Figure 1: Elevated levels of plasma anti-SARS-CoV2 antibodies in COVID-19 mRNA**

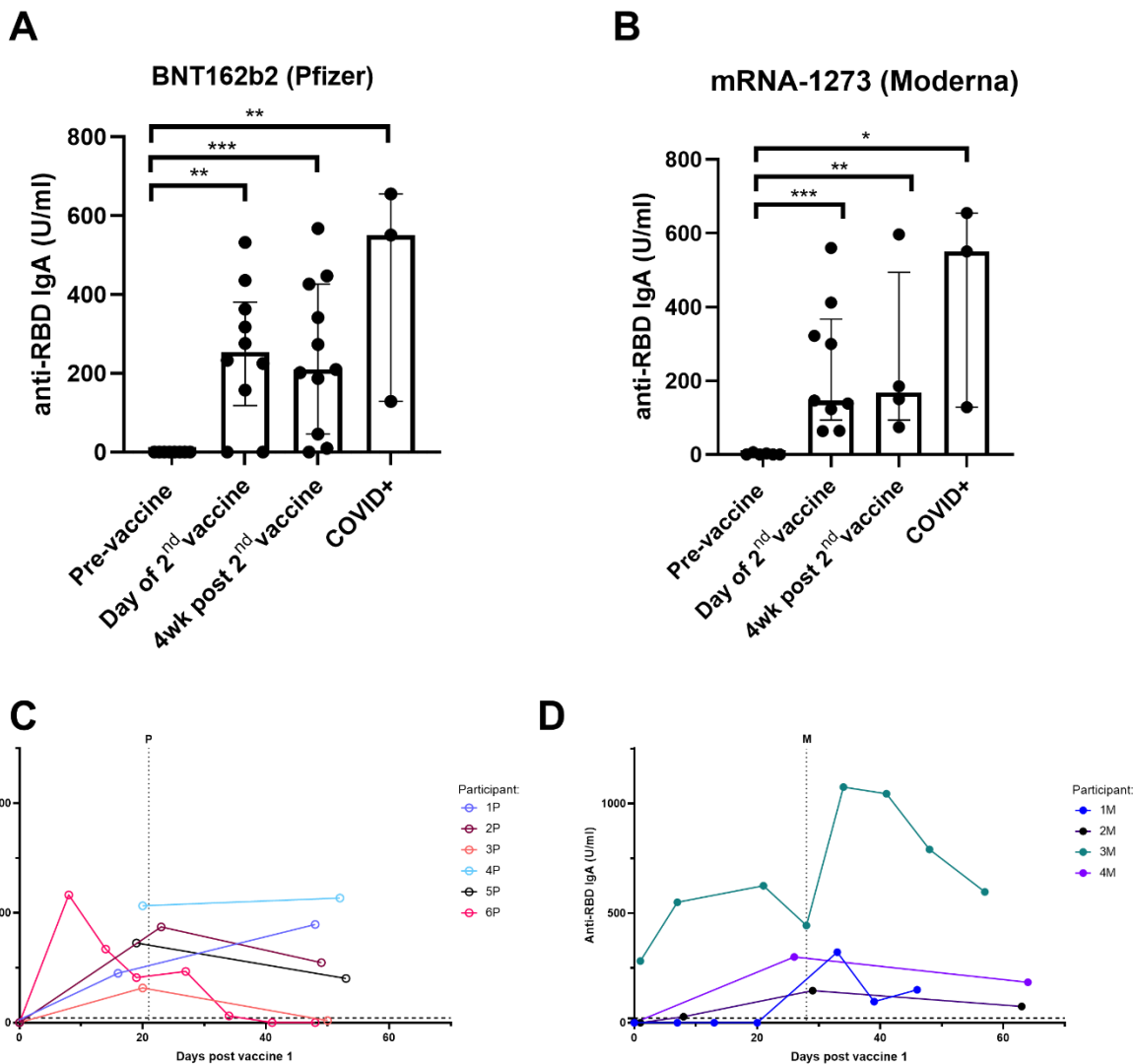
216 **vaccinated lactating individuals.** Anti-SARS-CoV2 IgG (A) and IgM (B) levels in plasma of

217 lactating individuals post COVID-19 mRNA vaccine (n=18, RFU- relative fluorescent units,

218 positive cut-off >50 RFU-relative fluorescent units). Asterisks represents p-values: \*= p-value

219 <0.05, \*\*= p-value <0.01, \*\*\*= <0.001, \*\*\*\*= <0.0001 as determined by unpaired Mann-Whitney

220 test.



221

222 **Figure 2: Elevated levels of milk anti-SARS-CoV2 IgA antibodies in COVID-19**

223 **mRNA vaccinated lactating individuals.** Milk samples from individuals receiving BNT-

224 162b2 (n=14) (A) and mRNA-1273 (n=9) (B) anti-COVID-19 vaccines were analysed for

225 anti-SARS-CoV2 IgA antibodies using ELISA. Asterisks represents p-values: \*= p-value

226 <0.05, \*\*= p-value <0.01, \*\*\*= <0.001 as determined by unpaired Mann-Whitney test.

227 Anti-SARS-CoV2 IgA antibodies levels in milk at serial time points post first BNT-162b2

228 (C) and mRNA-1273 (D) vaccine dose administration. Dashed vertical lines represent

229 the timing of the second dose administration (P- BNT-162b2, M- mRNA-1273). Dashed  
230 horizontal lines represent 21.4 U/mL, values above this line were considered positive.  
231 Sample identification by participant number and type of vaccine observed (P- BNT-  
232 162b2, M- mRNA-1273). Note: first sample from M3 obtained 24h post first vaccine.